Creating a Culture of Compliance

Corporate integrity at an organization helps proactively identify, address, and reduce risk, enabling a strong bottom line and trust with stakeholders.

Ineffective compliance programs
- lead to increased risk exposure
- expose businesses to financial penalties

Effective compliance programs
- establish a culture of integrity
- reduce the risk of non-compliance

Creating a Culture of Compliance

Exposure to risk:
- price
toll equal to 27% of a typically take a

Increases bottom line

Thomson Reuters Rising Personal Liability - Perception and Reality: How Best to Manage Personal Regulatory Risk

REGULATORY INTELLIGENCE

Regulatory focus:
- 60% in 2013
- 64% in 2014

Conduct-related infractions are projected to exceed 64% of respondents to the Thomson Reuters personal liability
take a

More than 1/3 of organizations surveyed spend at least an entire day per week tracking and analyzing compliance issues.

Keeping Pace with a Changing Regulatory Landscape

This past year has seen a steady increase in regulatory initiatives, including new laws, regulations, and requirements.

Monitoring Third Party Risk

Three-quarters of 427 corruption cases analyzed by the Foreign Bribery Report of the intergovernmental OECD involved

Encouraging Whistleblower Activity

US SEC received 4,000 whistleblower tips and paid $37M in whistleblower rewards in 2015.

Whistleblower protections are enhancing

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